

DaoKou Securities Limited Schedule of Fees and Charges (Hong Kong Securities Market)

Securities Trading Services*

(Calculated in HKD)

Item	Charge	Payable To
Commission Fee	General: 0.3% (Minimum \$100)	DaoKou Securities Limited
Stamp Duty (Except for stamp duty exempt securities)	0.1% (rounded up to the nearest \$1)	The Government of the HKSAR
Transaction Levy	0.00270% (Minimum HKD 0.01)	Securities and Futures Commission (SFC)
Trading Fee	0.00565% (Minimum HKD 0.01)	Hong Kong Exchanges and Clearing Limited (HKEX)
FRC Transaction Levy	0.00015% (Minimum HKD 0.01)	Financial Reporting Council (FRC)
Settlement Fee	0.0042%	Hong Kong Securities Clearing Company (HKSCC)

New Issue Subscription Fees

(Calculated in HKD)

Item	Charge	Payable To
Handling Fee	HKD 100 per application	DaoKou Securities Limited
Transaction Levy, Trading Fee, Brokerage Commission, FRC Levy	1.0085% (Only charged upon successful allotment)	SFC, HKEX, Broker

Stock Transfer, Physical Share Deposit/Withdrawal, Corporate Actions Services

Item	Charge
Transfer-in of Stocks	Free
Transfer-out of Stocks	0.5% of stock value, Minimum HKD 500
Deposit of Physical Shares	HKD 100
Withdrawal of Physical Shares	HKD 6 per board lot per certificate, Minimum HKD 300 per certificate
Stamp Duty on Deed of Transfer	HKD 5 per transfer deed
CCASS Investor Account (ISI)	Deposit: Free / Withdrawal: HKD 100 per item
CCASS Registration Fee	HKD 1.5 per board lot
Stock Rejection	HKD 50 per stock
Cash/General/Special/Unconditional Offer	0.5% of offer value, Min HKD 30 (RMB 25, USD 4). Plus HKSCC corporate actions service fee of HKD 0.8 per board lot, capped at HKD 10,000. Fractional lots counted as one board lot.
Express Share Registration	0.1% of stock market value + Registrar's service fee at that time

Nominee Services

(Calculated in HKD)

Item	Charge
Application for Excess Rights Issue	HKD 2 per board lot, Minimum HKD 50
Collection of Cash/Scrip Dividend	0.5% of dividend amount, Min HKD 30, plus HKEX fee of 0.12%
Collection of Bonus Shares	HKD 1 per board lot, plus handling fee HKD 30, plus HKEX fee HKD 1.5 per board lot
Handling of Rights Issue / Warrants	HKD 2 per board lot, Minimum HKD 50
Unclaimed Dividend / Bonus Share Claim	HKD 500 per case + 0.5% of dividend amount per period, Min HKD 20
CCASS Registration Fee	HKD 1.5 per board lot
Stock Rejection	HKD 50 per stock
Cash/General/Special/Unconditional Offer	0.5% of offer value, Min HKD 30 (RMB 25, USD 4). Plus HKSCC corporate actions service fee of HKD 0.8 per board lot, capped at HKD 10,000. Fractional lots counted as one board lot.
Share Registration	HKD 7.5 per board lot, Minimum HKD 50
Re-print of Consolidated Daily/Monthly Statement	HKD 100 per copy

Notes:

1. For the services above, less than one board lot is counted as one board lot.
2. Physical share withdrawal fee includes registration fee.

Hong Kong Stock Financing Interest Charges

(Calculated in HKD)

Item	Charge
Overdue Settlement (Cash Clients)	BOCHK Prime Rate + 6% p.a.

Other Charges

(Calculated in HKD)

Item	Charge	Remarks
Administration Fee	HKD 400 (Annually)	Applicable if client chooses postal communication or if there are no transactions for 12 consecutive months. Charged in January each year.

Banking Service Charges

(Calculated in HKD)

Item	Charge
Cheque Return Fee	HKD 300 per occurrence
Bank Transfer Fee	At cost, based on bank charges, deducted from account balance.
Withdrawal Handling Fee	HKD 100 per transaction. For withdrawals exceeding HKD 1,000,000, local CHATS must be used.
Telegraphic Transfer / Local CHATS Fee	Handling fee HKD 250 (Local) / HKD 400 (China & Overseas) or at the Company's cost, whichever is higher.

(US Securities Market)

Securities Trading Services*

(Calculated in USD)

Item	Charge
Trading Commission (Online Order)	USD 0.01 per share
Minimum per Order	USD 5
Trading Commission (Phone/Email Order)	USD 0.03 per share
Minimum per Order	USD 300

Clearing Fees

(Calculated in USD)

Item	Charge	Payable To
Platform Fee	USD 0.002 per share, Minimum USD 0.99 per order	DaoKou Securities Limited
Trading Activity Fee (Sold shares only)	USD 0.000195 * Number of shares sold, Min USD 0.01, Max USD 9.79	FINRA
SEC Fee	0.0000278% * Total Transaction Value, Min USD 0.01 (Adjusted to USD 0 from May 13, 2025)	U.S. Securities and Exchange Commission (SEC)
Clearing Fee	USD 0.003 * Transaction Volume, Min USD 0.01	NSCC
OTC Clearing Fee	Not Applicable	
Consolidated Audit Trail Fee	Free	

Other US Stock Service Charges

(Calculated in USD)

Item	Charge	Payable To
American Depositary Receipt (ADR) Custody Fee	USD 0.01 - 0.05 per share, charged to investors on a specified date.	Trust Company (DTC)
Withholding Tax on Dividends	30% * Dividend (automatically withheld upon payment)	U.S. Internal Revenue Service (IRS)
Corporate Actions	USD 2 per transaction	DaoKou Securities Limited
DTC (FOP) General US Stock SI Transfer-out	0.1% of the transferring stock's market value, Minimum USD 150 (This fee applies even if the transfer-out fails)	DaoKou Securities Limited
DTC (FOP) General US Stock SI Transfer-in	Free	DaoKou Securities Limited
Asset Transfer-in (DRS / ACAT)	5% - 11% of the total asset value per transfer, Minimum USD 1,000	DaoKou Securities Limited

Remark :

1. The above charges were correct at the time of release but remain subject to change, DKSL reserves the right to introduce charges not included. For your own interest, please seek confirmation of the prevailing charge related to the service you need at anytime.
 2. DKSL may convert monies, at any time at such exchange rate and for such amount as DKSL deems fit, into and from any currency at such rate of exchange as DKSL shall in its sole discretion determine as being the then prevailing market rate of exchange.
- * Service standards and fees are subject to change. Please refer to the "Trading Notification" and "Charges" sections on our website for the latest information. No further notice will be given.